Surgical activity in England and Wales during the COVID-19 pandemic: a nationwide observational cohort study

T D Dobbs^{1,2*}, J A G Gibson^{1*}, A J Fowler^{3*}, T E Abbott^{3*}, T Shahid³, F Torabi⁴, R Griffiths⁴, R

A Lyons⁴, R M Pearse³, I S Whitaker^{1,2}

*Joint first authors

- Reconstructive Surgery & Regenerative Medicine Research Group, Institute of Life
 Sciences, Swansea University Medical School, Swansea, UK
- 2. Welsh Centre for Burns and Plastics, Morriston Hospital, Swansea, UK
- 3. William Harvey Research Institute, Queen Mary University of London, UK.
- 4. Population Data Science, Swansea University Medical School Swansea, Wales, UK

Correspondence to:

Thomas Dobbs

Reconstructive Surgery & Regenerative Medicine Research Group

Institute of Life Sciences

Swansea University Medical School

Swansea

SA2 8PP

UK

Abstract word count: 230 Manuscript word count: 2614

Keywords: Surgery; Anaesthesia; COVID-19; Public Policy

NOTE: This preprint reports new research that has not been certified by peer review and should not be used to guide clinical practice.

Abstract

Objectives: To report the volume of surgical activity and the number of cancelled surgical

procedures during the COVID-19 pandemic.

Design and setting: Analysis of electronic health record data from the National Health Service

(NHS) in England and Wales.

Methods: We used hospital episode statistics for all adult patients undergoing surgery

between 1st January 2020 and 31st December 2020. We identified surgical procedures using a

previously published list of procedure codes. Procedures were stratified by urgency of surgery

as defined by NHS England. We calculated the deficit of surgical activity by comparing the

expected number of procedures from the years 2016-2019 with the actual number of

procedures in 2020. We estimated the cumulative number of cancelled procedures by 31st

December 2021 according patterns of activity in 2020.

Results: The total number of surgical procedures carried out in England and Wales in 2020

was 3,102,674 compared to the predicted number of 4,671,338. This represents a 33.6%

reduction in the national volume of surgical activity. There were 763,730 emergency surgical

procedures (13.4% reduction), compared to 2,338,944 elective surgical procedures (38.6%

reduction). The cumulative number of cancelled or postponed procedures was 1,568,664. We

estimate that this will increase to 2,358,420 by 31st December 2021.

Conclusions: The volume of surgical activity in England and Wales was reduced by 33.6% in

2020, resulting in over 1,568,664 cancelled operations. This deficit will continue to grow in

2

2021.

Summary boxes

What is already known on this topic:

- The COVID-19 pandemic necessitated a rapid change in the provision of care, including the suspension of a large proportion of surgical activity
- Surgical activity has yet to return to normal and has been further impacted by subsequent waves of the pandemic
- This will lead to a large backlog of cases

What this study adds:

- 3,102,674 surgical procedures were performed in England and Wales during 2020, a
 33.6% reduction on the expected yearly surgical activity
- Over 1.5 million procedures were not performed, with this deficit likely to continue to grow to 2.3 million by the end of 2021
- This deficit is the equivalent of more than 6 months of pre-pandemic surgical activity, requiring a monumental financial and logistic challenge to manage

Introduction

The COVID-19 pandemic has fundamentally changed the delivery of healthcare worldwide. In many health systems, resources have been reallocated to the care of patients with acute SARS-CoV-2 infection and diverted away from routine healthcare services including cancer care and chronic disease management.^{1,2} Critical care capacity was increased, staff and equipment were redeployed and, in some cases, new hospitals were built.³⁻⁵ In England, the National Health Service (NHS) postponed all non-urgent surgery from 15th April 2020 to support the response to the first wave of the pandemic, with repetition of these delays from December 2020 in response to the second wave.⁶⁻⁸ This has had an enormous, but as yet uncharacterised, impact on the provision of surgery, resulting in the cancellation of a large portion of elective surgery and delayed urgent surgery for almost an entire year.

In high-income countries, surgical services represent a large portion of healthcare activity. In the NHS, surgery accounts for over 5 million hospital admissions every year. 10,11 However, the exact number of surgical procedures that have been cancelled due to COVID-19 is unknown. During 2020, there was a phased reintroduction of urgent and elective surgery following the first lockdown. However, this was complicated by increased staff sickness, reduced operating room capacity and lower throughput due to enhanced infection control policies. In the meantime, the waiting list for surgical procedures has continued to grow. In a previous study, we estimated that over two million surgical procedures would have been cancelled in the NHS in England due to the first wave of the pandemic. However, this was modelled on previous years data and anecdotally observed falls in activity, as well as not accounting for a second wave of COVID-19 cases and further associated reductions in surgical activity. Therefore, the true impact of COVID-19 on national surgical activity is still unknown. It is

crucial that we understand the total number of cancelled surgical procedures, the size of

waiting list and the priority of these cases, so that healthcare leaders and policy makers are

able to plan the reintroduction of urgent and elective surgery.

Here, we report the results of a planned analysis of hospital episode data from the NHS in

England and Wales. We describe the true national volume of surgical activity that occurred

during the first wave of the COVID-19 pandemic and the initial recovery period, and also

5

estimate the likely number of cancelled procedures until the end of 2021.

Methods

Study design and setting

Population-based epidemiological study describing all hospital admissions for a surgical

procedure in the National Health Service (NHS) in England and Wales between 1st January

2020 and 31st December 2020. We used data from 1st January 2016 until 31st December 2019

as a historical comparator period.

Data sources

We used Hospital Episode Statistics for Admitted Patient Care (HES APC) and Patient Episode

Data for Wales (PEDW), which describe every episode of hospital care in the NHS in England

and Wales, respectively. The databases include demographic information (age, sex, ethnicity),

process information (dates of admission, start of episode, end of episode, reason for

admission and patient class), and procedural information (recorded using Office of Population

Censuses and Surveys classification of interventions and procedures version 4.7 [OPCS-4.7]

codes and associated dates).^{14,15}

Study population

All patients undergoing surgical procedures in England and Wales in the study period were

included. Surgical procedures were defined using a previously published definition of surgery

6

identified using three-character OPCS-4.7 codes (Appendix A). 10,11

Outcomes

The primary outcome was the number of hospital admissions with an associated surgical

procedure.

Data processing

Duplicate episodes were removed and procedures were restricted to primary procedures

within each admission episode. This included finished consultant episodes only, which

excludes hospital episodes where a patient remains in hospital, or where data has not yet

been submitted to NHS England/NHS Wales. Data were extracted from core data tables in the

Data Access Environment (England) and the SAIL Databank (Wales). We selected all hospital

episodes associated with defined OPCS-4.7 codes. 10,11. We categorised each procedure

according to urgency of the surgical procedure using four classes defined by NHS England

(Appendix B): Emergency (required within 72 hours); Urgent (can be delayed for up to four

weeks); Semi-urgent (can be delayed for up to three months); Elective (can be delayed for

more than three months).⁶ The OPCS 4.7 contributing to these classes were derived from

average waiting times reported between 2014 to 2019, as previously described. 16 We

aggregated the data by anatomical location, month, and year of the procedure (Appendix

A). 10,13

Statistical analysis

Volume of procedures

Descriptive statistics were used to characterise the volume of procedures performed during

7

the historical comparative period (2016-2019) and during 2020.

Estimation of the deficit of surgical volume

The expected monthly frequency of surgical activity from 1st January 2020 to 31st December

2020 was estimated using the surgical activity during the three-years prior to the study period

(1st January 2016 to 31st December 2019). A time series model was used to independently

forecast the total monthly number of procedures and the monthly number of procedures for

each of the aforementioned surgical classifications.

Modelling analysis

We calculated the deficit of surgical procedures on 31st December 2020 with a 95%

confidence interval, based on the difference between the expected and actual number of

cases that took place in the year to 31st December 2020. We used a linear regression model

to determine the rate of surgical recovery between the first two waves of the pandemic (April

to October 2020). We assumed that surgical services would remain disrupted until the end of

March 2021 and extrapolated growth from that baseline using the linear model to determine

when surgery would reach normal capacity. All data were extracted using SQL and analysed

using IBM SPSS Statistics for Windows (IBM Corp. Released 2017. Version 25.0. Armonk, NY:

IBM Corp). Graphs were made using ggplot2 package in R (R version 4.0.1, R Core Team, core

team; Vienna).

Research ethics approval

This analysis of routinely collected, pseudonymised data was approved by the Health

Research Authority (20/HRA/3121). Analysis of NHS Wales data was approved by SAIL

independent Information Governance Review Panel (IGRP) project number 0911. Access to

8

NHS England data was approved by the NHS Digital Independent Group Advising on the Release of Data (DARS-NIC-375669-J7M7F).

Results

We identified 3,102,674 admissions for a surgical procedure between 1st January 2020 and

31st December 2020 (Appendix C). Of these, 2,981,161 (96%) were in England and 121,513

were in Wales (Appendix D). The median age was 58 (IQR: 38 to 73) years and 54% of the

cohort were female.

Comparison with the average annual activity 2016-2019

During the historical period (1st January 2016 to 31st December 2019), there were a median

of 4,685,106 admissions for surgery per year (IQR 4,4,640,122 - 4,731,338). There were a

median of 392,720.5 admissions per month (IQR 376,400.3 - 406,084.3). The number of

procedures expected to have been performed during 2020 was 4,671,338 (95% CI: 4,218,740

to 5,123,932). During 2020, the lowest number of surgical admissions were observed in the

month of April with 104,063 (compared to the expected number of 381,153 representing a

72.7% reduction in surgical activity during this month). The number of admissions during the

pandemic period, compared to the expected number of admissions is presented in Table 1

and Figure 1.

Deficit of surgical activity

The total cumulative deficit of hospital admissions for surgical procedures on the 31st

December 2020 was 1,568,664 (95%CI: 1,116,066 to 2,021,258) procedures (Figure 1). There

were 763,730 emergency surgical procedures (13.4% reduction), compared to 2,338,944

elective surgical procedures (38.6% reduction). The majority of this deficit are represented by

10

semi-urgent surgical procedures in class 3 (904,761 of 1,568,664; 57.7%) and elective surgical

procedures in class 4 (481,150 of 1,568,664 30.7%) (Table 2).

Number of surgical admissions by urgency of procedure

In 2020 the majority of surgical activity were class 3 (1,582,808 of 3,102,674, 51.0%). The

greatest reduction in activity compared to the historical cohort was seen in class 4 procedures

(448,723 of 929,873 a 51.8% reduction). The number of admissions during 2020, compared

to the expected number of admissions categorised by class is presented in Table 1 and Figure

2.

Number of surgical admissions by specialty

The number of admissions for surgery during 2020, stratified by anatomical surgical site is

provided in Appendix E. The surgical specialty with the biggest reduction in activity during

2020 compared to the historical comparator was Oral Surgery (48 %).

Predicted volume of surgical activity in 2021

If the reintroduction of surgical activity in March 2021 occurs at a similar rate to that observed

between the first and second waves of the pandemic (April to October 2020), then surgical

care will reach pre-pandemic activity levels by August 2021 (Figure 3). We estimate a

cumulative deficit of 2,384,200 (95% CI: 1,667,587 to 3,100,808) surgical procedures between

1st January 2020 and 31st December 2021 (Table 3). Based on the median monthly number of

procedures performed during 2016-2019, this is equivalent to more than six months of pre-

11

pandemic surgical activity.

Discussion

The principle finding of this national observational study is that the overall volume of surgical activity in England and Wales during 2020 is 33.6% lower than expected levels. This represents the cancellation or postponement of more than 1.5 million surgical procedures. The vast majority of the deficit of procedures is accounted for by semi-urgent and elective surgery (38.6%). However, there was a substantial reduction in the frequency of emergency surgery (13.4%), which has not yet returned to a pre-pandemic baseline. This may be due to a reduction in emergency surgical presentations, for example fewer injuries requiring surgery due to lockdowns; it may represent an inflation of emergency cases numbers before the pandemic (i.e. operations that were not true emergencies) or clinical management using non-surgical treatment (i.e. conservative or medical therapy). We predict that by the end of 2021 there will 2.4 million surgical procedures outstanding, representing more than six months of normal surgical activity.

Perioperative clinicians in the United Kingdom and worldwide will be familiar with changes to working patterns, reductions in operating theatre capacity and increasing waiting lists for surgery. Several research groups have estimated the volume of cancelled surgical procedures. However, this is the first study, to our knowledge, to describe the impact of the COVID-19 pandemic on the volume of surgical activity at the level of national health systems. Our data represent the true reduction in surgical workload that occurred during the first wave of the pandemic in England and Wales, and the subsequent (incomplete) recovery of surgical services. In the early phase of the pandemic there was a necessary trade off between care of a large volume patients with acute respiratory disease, many of whom required intensive care treatments, and continuation of services to treat surgical disease. This

led to the almost complete cessation of all but the most emergent surgical treatment. The reintroduction of surgery after the first wave of the pandemic was complicated by several factors. First, concerns about the high-risk of perioperative mortality among surgical patients with concomitant SARS-CoV-2 infection and the postponement of surgery on clinical grounds. ^{17,19} Second, reduced availability of physical and human resources due to on-going care of patients with COVID-19, which limited the capacity of surgical services.²⁰ Third, reduced throughput of surgery due to new infection control procedures to prevent nosocomial SARS-CoV-2 infection and to protect staff members. The consequences of the observed interruption to surgical treatment will be felt by millions of patients for many years to come. Delays in the diagnosis and the surgical management of cancer will undoubtably lead to an increase in cancer related mortality.²¹ For many patients waiting for semi-urgent and elective surgery, there is likely to be a worsening of their condition while waiting for surgery, which could make future treatment more difficult and less likely to succeed.²² Furthermore these patients are likely to suffer worsening of their physical and mental health while on the waiting list, placing additional burdens on primary and social care, reducing their productivity in the workplace.²³ This represents a huge financial and human cost to society.

Dealing with the backlog of surgery will be a challenge to many health systems worldwide.²⁴ We have previously demonstrated that SARS-CoV-2-free 'green pathway' operating is safe, with similar perioperative mortality among patients on 'green pathways' compared to before the pandemic.¹⁹ Consequently, surgery should not be delayed or prevented if safeguards are put in place. However, this will require significant re-organisation of surgical services and financial commitment from central government. Green pathway operating will need dedicated space and staff to ensure safety, with routine SARS-CoV-2 testing for patients and

staff. With limited personnel and physical resources, it may take many years of concerted effort to clear the backlog of surgery due to COVID-19. In the rush to limit harm to surgical patients and restart elective surgery as soon as possible, staff welfare should not be neglected. A high proportion of healthcare workers have experienced burn out over the last year and adequate time for staff recovery should be incorporated into any plan to tackle the waiting list for surgery. Asking staff that are already tired to work harder for longer may not be a sustainable solution to this problem.

This study has several strengths. We included data from all patients undergoing surgery in England and Wales during the first wave of the COVID-19 pandemic, thus our results represent the true volume of surgery being performed. We include data from 2.5 million surgical patients, which represents one of the largest observational cohorts of surgical patients during the COVID-19 pandemic. A pre-pandemic cohort of more than 10 million patients was used to accurately identify the expected usual surgical activity within the NHS for comparison. Official routine data collection is also less open to bias, which can affect other data collection methods such as clinician surveys. Furthermore, this data will be generalisable to other high-income countries, where similar reductions in surgical activity have been reported.²⁶ The pandemic cohort time period was chosen for a number of reasons. While the NHS response to COVID-19 began in early March 2020 there is evidence of community transmission within the UK prior to this.²⁷ Furthermore, it is important that the winter months (January/February/March) were included in this analysis as operating volume is traditionally reduced due to other illnesses at this time of year. Our cohort cut off of the 31st December 2020 allowed us to analyse the first wave and subsequent recovery, leading into the second wave of the pandemic, whilst still allowing for data to feed through to national databases and be analysed in a timely manner. This study also has limitations. As with any population-based

study using routinely collected data there is the risk of incomplete or missing data, although

from previous work this is likely to be negligible in this setting. Furthermore, the second peak

of the pandemic did not occur until February 2021 in the UK, so it is likely that surgical activity

continued to decline after December. We deliberately took a conservative approach in our

modelling by assuming December was the nadir of surgical activity after April 2020.

Conclusion

This study is the first to provide operational detail on surgical activity during the COVID-19

pandemic for an entire health care system. The volume of surgical activity in England and

Wales in 2020 was 33.6% lower than historical data. Over 1.5 million surgical procedures have

been cancelled as a result of COVID-19 and we predict that the deficit will rise to 2.4 million

by the end of 2021. It is imperative that surgical patients are not the forgotten casualties of

the pandemic. Further work must aim to identify ways to improve utilisation of all available

capacity for surgical activity, with commitments by governments to make financing this work

16

a priority.

Contributors

TA, TD, AF, RP were responsible for study design. JG, AF, FT, RG and RAL were responsible for data collection. JG and AF were responsible for data analysis. TD, TA, JG and AF were responsible for data interpretation. TD and TA wrote the first draft of the manuscript. All authors revised the manuscript for important intellectual content and approved the final version. AJF and JG had full access to the data and act as guarantors. The corresponding author attests that all listed authors meet the authorship criteria and that no others meeting the criteria have been omitted.

Competing interest statement

All authors have completed the Unified Competing Interest form and declare: AJF holds a National Institute for Health Research Doctoral Research fellowship (DRF-2018-11-ST2-062). TDD reports funding from the Welsh Clinical Academic Training (WCAT) Fellowship. IW reports active grants from the American Association of Plastic Surgeons and the European Association of Plastic Surgeons; is an editor for Frontiers of Surgery, associate editor for the Annals of Plastic Surgery, editorial board of BMC Medicine and numerous other editorial board roles. RP has received honoraria and/or research grants from Edwards Lifesciences, Intersurgical and GlaxoSmithkline within the last five years and holds editorial roles with the British Journal of Anaesthesia, the British Journal of Surgery and BMJ Quality and Safety. TA is a member of the associate editorial board of the British Journal of Anaesthesia and has received consultancy fees from MSD unrelated to this work. All other authors report no financial relationships with any organisations that might have an interest in the submitted work in the previous three years, no other relationships or activities that could appear to have influenced the submitted work.

Transparency declaration

TD affirms that the manuscript is an honest, accurate, and transparent account of the study

being reported; that no important aspects of the study have been omitted; and that any

discrepancies from the study as planned have been explained.

Role of the funding source

This study was funded by a grant from Barts Charity. The Welsh data source was supported

by Health Data Research UK, which receives its funding from HDR UK Ltd (HDR-9006) funded

by the UK Medical Research Council (MRC), Engineering and Physical Sciences Research

Council, Economic and Social Research Council, Department of Health and Social Care

(England), Chief Scientist Office of the Scottish Government Health and Social Care

Directorates, Health and Social Care Research and Development Division (Welsh

Government), Public Health Agency (Northern Ireland), British Heart Foundation (BHF) and

the Wellcome Trust. The study was also supported by a separate grant from the MRC

(MR/V028367/1). The funding sources had no role in the study design, data collection,

analysis, interpretation, or writing the report.

Data sharing

The data used in this study are derived from two data sources. It is not possible to share the

raw patient-level data provided by NHS Digital describing NHS patients in England. Regarding

data from NHS patients in Wales, the data used are available in the SAIL Databank at Swansea

University, Swansea, UK, but as restrictions apply they are not publicly available. All proposals

to use SAIL data are subject to review by an independent Information Governance Review

18

Panel (IGRP). Before any data can be accessed, approval must be given by the IGRP. The IGRP

gives careful consideration to each project to ensure proper and appropriate use of SAIL data.

When access has been granted, it is gained through a privacy protecting safe haven and

remote access system referred to as the SAIL Gateway. SAIL has established an application

process to be followed by anyone who would like to access data via SAIL at

https://www.saildatabank.com/application-process.

Acknowledgements

This work uses data provided by patients and collected by the NHS as part of their care and

support. We would like to acknowledge all data providers who make anonymised data

available for research and the collaborative partnership that enabled acquisition and access

to the de-identified data, which led to this output. The collaboration was led by the Swansea

University Health Data Research UK team under the direction of the Welsh Government

Technical Advisory Cell (TAC) and includes the following groups and organisations: the Secure

Anonymised Information Linkage (SAIL) Databank, Administrative Data Research (ADR)

Wales, NHS Wales Informatics Service (NWIS), Public Health Wales, NHS Shared Services and

the Welsh Ambulance Service Trust (WAST). All research conducted has been completed

under the permission and approval of the SAIL independent Information Governance Review

19

Panel (IGRP) project number 0911.

Patient and public involvement

A patient representative was consulted in the design of this study.

Dissemination declaration

The results of this study have been shared with representatives from NHS England and NHS Wales prior to publication, in order to inform patient care.

Licence

The Corresponding Author has the right to grant on behalf of all authors and does grant on behalf of all worldwide licence authors, а (http://www.bmj.com/sites/default/files/BMJ%20Author%20Licence%20March%202013.do c) to the Publishers and its licensees in perpetuity, in all forms, formats and media (whether known now or created in the future), to i) publish, reproduce, distribute, display and store the Contribution, ii) translate the Contribution into other languages, create adaptations, reprints, include within collections and create summaries, extracts and/or, abstracts of the Contribution and convert or allow conversion into any format including without limitation audio, iii) create any other derivative work(s) based in whole or part on the on the Contribution, iv) to exploit all subsidiary rights to exploit all subsidiary rights that currently exist or as may exist in the future in the Contribution, v) the inclusion of electronic links from the Contribution to third party material where-ever it may be located; and, vi) licence any third party to do any or all of the above. All research articles will be made available on an open access basis (with authors being asked to pay an open access feesee http://www.bmj.com/about-bmj/resources-authors/forms-policies-andchecklists/copyright-open-access-and-permission-reuse). The terms of such open access shall be governed by a Creative Commons licence—details as to which Creative Commons licence

will apply to the research article are set out in our worldwide licence referred to above.

References

- 1. Morris EJA, Goldacre R, Spata E, et al. Impact of the COVID-19 pandemic on the detection and management of colorectal cancer in England: a population-based study. *Lancet Gastroenterol Hepatol* 2021.
- 2. Flint SW, Brown A, Tahrani AA, Piotrkowicz A, Joseph AC. Cross-sectional analysis to explore the awareness, attitudes and actions of UK adults at high risk of severe illness from COVID-19. *BMJ Open* 2020; **10**(12): e045309.
- 3. Soreide K, Hallet J, Matthews JB, et al. Immediate and long-term impact of the COVID-19 pandemic on delivery of surgical services. *The British journal of surgery* 2020.
- 4. Abu Hilal M, Besselink MG, Lemmers DHL, Taylor MA, Triboldi A. Early look at the future of healthcare during the COVID-19 pandemic. *The British journal of surgery* 2020.
- 5. Collaborative CO. Global guidance for surgical care during the COVID-19 pandemic. *The British journal of surgery* 2020.
- 6. England N. Clinical guide to surgical prioritsation during the coronavirus pandemic, 2020.
- 7. Spinelli A, Pellino G. COVID-19 pandemic: perspectives on an unfolding crisis. *The British journal of surgery* 2020.
- 8. CovidSurg C, Nepogodiev D, Bhangu A. Elective surgery cancellations due to the COVID-19 pandemic: global predictive modelling to inform surgical recovery plans. *The British journal of surgery* 2020.
- 9. Weiser TG, Haynes AB, Molina G, et al. Estimate of the global volume of surgery in 2012: an assessment supporting improved health outcomes. *Lancet* 2015; **385 Suppl 2**: S11.
- 10. Abbott TEF, Fowler AJ, Dobbs TD, Harrison EM, Gillies MA, Pearse RM. Frequency of surgical treatment and related hospital procedures in the UK: a national ecological study using hospital episode statistics. *British journal of anaesthesia* 2017; **119**(2): 249-57.
- 11. Fowler AJ, Abbott TEF, Prowle J, Pearse RM. Age of patients undergoing surgery. *The British journal of surgery* 2019; **106**(8): 1012-8.
- 12. Griffin S. Covid-19: Waiting times in England reach record highs. *BMJ* 2020; **370**: m3557.
- 13. Fowler AJ, Dobbs TD, Wan YI, et al. Estimated surgical requirements in England after COVID-19: a modelling study using hospital episode statistics [IN PRESS]. *British Journal of Surgery* 2020; **09/05/2020**.
- 14. Digital N. OPCS Classification of Interventions and Procedures. NHS Model and data dictionary. 2020.

https://datadictionary.nhs.uk/supporting information/opcs classification of interventions and procedures.html (accessed 12/02/2021 2021).

- 15. Herbert A, Wijlaars L, Zylbersztejn A, Cromwell D, Hardelid P. Data Resource Profile: Hospital Episode Statistics Admitted Patient Care (HES APC). *Int J Epidemiol* 2017; **46**(4): 1093-i.
- 16. Fowler AD, TD; Wan, YI; Laloo, R; Hui, S; Nepogodiev, D; Bhangu, A; Whitaker, IS; Pearse, RM; Abbott, TEF. Resource requirements for reintroducing elective surgery during the COVID-19 pandemic: modelling study. *BJS* 2020; **108**(1): 97-103.
- 17. Collaborative CO. Delaying surgery for patients with a previous SARS-CoV-2 infection. *The British journal of surgery* 2020; **107**(12): e601-e2.
- 18. collaborative CCr. Colorectal cancer services during the COVID-19 pandemic. *The British journal of surgery* 2020; **107**(8): e255-e6.
- 19. Abbott TF, AJ; Dobbs, TD; Gibson, J; Shahid, T; Dias, P; Akbari, A; Whitaker, IS; Pearse, RM. Mortality after surgery with SARS-CoV-2 infection in England: A population-wide epidemiological study. In: London QMUo, editor. medrxiv.org; 2021.
- 20. Fowler A, Abbott TEF, Pearse RM. Can we safely continue to offer surgical treatments during the COVID-19 pandemic? *BMJ Qual Saf* 2020.

- 21. Maringe C, Spicer J, Morris M, et al. The impact of the COVID-19 pandemic on cancer deaths due to delays in diagnosis in England, UK: a national, population-based, modelling study. *The Lancet Oncology* 2020; **21**(8): 1023-34.
- 22. Wijeysundera DN, Pearse RM, Shulman MA, et al. Assessment of functional capacity before major non-cardiac surgery: an international, prospective cohort study. *Lancet* 2018; **391**(10140): 2631-40.
- 23. Wan YI, McGuckin D, Fowler AJ, Prowle JR, Pearse RM, Moonesinghe SR. Socioeconomic deprivation and long-term outcomes after elective surgery: analysis of prospective data from two observational studies. *British journal of anaesthesia* 2020.
- 24. Mayol J, Fernandez Perez C. Elective surgery after the pandemic: waves beyond the horizon. *The British journal of surgery* 2020.
- 25. Tan YQ, Wang Z, Yap QV, et al. Psychological Health of Surgeons in a Time of COVID-19: A Global Survey. *Annals of surgery* 2021.
- 26. Bedard NA, Elkins JM, Brown TS. Effect of COVID-19 on Hip and Knee Arthroplasty Surgical Volume in the United States. *J Arthroplasty* 2020; **35**(7S): S45-S8.
- 27. Scally G, Jacobson B, Abbasi K. The UK's public health response to covid-19. *BMJ* 2020; **369**: m1932.

Table 1 - Observed number of procedures vs expected number of procedures

	Class 1		Class 2		Class 3		Class 4	
	Observed	Percentage of		Percentage of	Observed,	Percentage of		Percentage of expected
	, N	expected (95%CI)	Observed, N	expected (95%CI)	N	expected (95%CI)	Observed, N	(95%CI)
Jan-20	72,528	99.8 (92.6-108.2)	35,067	106 (95.4-119.2)	216,455	101.5 (91.6-113.7)	75,195	98.0 84.0-117.5)
Feb-20	67,141	92.4 (85.7-100.1)	31,839	96.2 (86.6-108.2)	201,663	97.7 (87.9-110.0)	72,343	90.3 (77.9-107.3)
Mar-20	62,355	85.8 (79.6-93.0)	29,629	89.5 (80.5-100.7)	149,742	69.5 (62.8-77.8)	44,496	57.3 (49.7-69.5)
Apr-20	48,798	67.1 (62.3-72.8)	16,525	49.9 (44.9-56.1)	37,120	18.4 (16.5-20.7)	1,620	2.3 (1.9-2.7)
May-20	59,597	82.0 (76.1-88.9)	18,012	54.4 (48.9-61.2)	47,274	22.9 (20.6-25.8)	3,542	4.5 (3.8-5.5)
Jun-20	64,178	88.3 (82.0-95.7)	21,772	65.7 (59.1-73.9)	77,975	38.2 (34.4-43.1)	11,033	14.1 (12.0-17.3)
Jul-20	70,633	97.2 (90.2-105.3)	24,988	75.4 (67.8-84.8)	113,141	53.2 (48.0-59.6)	23,619	30.3 (25.6-36.9)
Aug-20	68,898	94.8 (88.0-102.8)	23,183	69.9 (62.9-78.6)	118,748	59.1 (53.0-66.8)	31,785	40.7 (34.3-49.7)
Sep-20	68,942	94.9 (88.0-102.8)	27,145	81.8 (73.6-92.0)	155,569	74.9 (67.5-84.3)	46,239	59.2 (50.1-72.3)
Oct-20	67,314	92.6 (86.0-100.4)	27,933	84.1 (75.7-94.7)	168,026	79.4 (71.6-89.1)	53,518	68.6 (58.0-83.7)
Nov-20	62,125	85.5 (79.3-92.6)	27,007	81.3 (73.2-91.5)	164,060	79.4 (71.4-89.4)	50,154	64.2 (54.4-78.5)
Dec-20	51,221	70.5 (65.4-76.2)	24,313	73.2 (65.8-82.3)	133,035	67.4 (60.4-76.3)	35,179	45.1 (38.2-55.0)

Class 1, Emergency surgery with 72 hours; Class 2, Urgent surgery within 4 weeks; Class 3, Semi-urgent surgery within 3 months; Class 4, Elective surgery over 3 months

Table 2 - Monthly and cumulative deficit of number of surgical procedures

	Total		Class 1		Class 2		Class 3		Class 4	
	Monthly deficit	Cumulative deficit	Monthly deficit	Cumulative deficit						
January	863	863	150	150	0	0	0	0	1,550	1,550
February	14,055	14,918	5,537	5,687	1,257	1,257	4,773	4,773	7,811	9,361
March	118,492	133,410	10,323	16,010	3,480	4,737	65,692	70,465	32,291	41,652
April	277,090	410,500	23,880	39,890	16,597	21,334	165,060	235,525	70,055	111,707
May	261,176	671,676	13,081	52,971	15,123	36,457	159,167	394,692	74,522	186,229
June	207,880	879,556	8,500	61,471	11,376	47,833	125,974	520,666	67,031	253,260
July	167,730	1,047,286	2,045	63,516	8,173	56,006	99,650	620,316	54,445	307,705
August	137,072	1,184,358	3,780	67,296	9,990	65,996	82,126	702,442	46,279	353,984
September	92,131	1,276,489	3,736	71,032	6,041	72,037	51,997	754,439	31,825	385,809
October	79,742	1,356,231	5,364	76,396	5,266	77,303	43,510	797,949	24,546	410,355
November	83,499	1,439,730	10,553	86,949	6,205	83,508	42,533	840,482	27,910	438,265
December	128,934	1,568,664	21,457	108,406	8,912	92,420	64,279	904,761	42,885	481,150

Class 1, Emergency surgery with 72 hours; Class 2, Urgent surgery within 4 weeks; Class 3, Semi-urgent surgery within 3 months; Class 4, Elective surgery over 3 months

Table 3. Modelled number of procedures, monthly deficit, and cumulative deficit in 2021. Data are counts of procedures with associated 95% confidence interval derived from a linear regression model.

	Modelled number of procedures	Monthly deficit compared to historical period	Cumulative deficit (2021 only)		
2021:		periou			
January	243,748 (243,748 to 243,748)	156,360 (118,644 to 194,076)	156,360 (118,644 to 194,076)		
February	243,748 (243,748 to 243,748)	143,293 (105,577 to 181,010)	299,653 (224,221 to 375,086)		
March	243,748 (243,748 to 243,748)	160,966 (123,249 to 198,682)	460,619 (347,470 to 573,768)		
April	243,726 (210,656 to 276,797)	137,427 (99,710 to 175,143)	598,046 (447,180 to 748,911)		
May	281,040 (246,677 to 315,402)	108,561 (70,845 to 146,278)	706,607 (518,025 to 895,189)		
June	318,353 (281,817 to 354,890)	64,485 (26,769 to 102,201)	771,092 (544,794 to 997,390)		
July	355,667 (316,220 to 395,114)	44,444 (6,727 to 82,160)	815,536 (551,521 to 1,079,550)		
August	379,686 (341,969 to 417,402)	0 (0 to 0)	815,536 (551,521 to 1,079,550)		
September	390,026 (352,309 to 427,742)	0 (0 to 0)	815,536 (551,521 to 1,079,550)		

.,521 to 1,079,550)
.,521 to 1,079,550)

Figure 1 – Top panel: Surgical activity during 2020 (red line) compared to expected surgical activity (blue line) based on the years 2016-2019 (with 95% CIs). Bottom panel: Cumulative deficit of surgical procedures throughout 2020 (95% CIs).

Figure 2 – Surgical activity by class for 2020 (dotted line) compared to expected surgical activity and 95% CI (solid line and shading).

Figure 3 – Predicted surgical activity for 2021 and return to pre-pandemic operative volume.

Appendix A – Previous published definition of surgery using three-character Office of Population Censuses and Surveys classification of interventions and procedures version 4.7 (OPCS-4.7) codes.

Appendix B – Four class definition of the urgency of surgery as published by NHS England.

Appendix C – Flow diagram demonstrating number of patients identified in study cohort.

Appendix D - Surgical activity in 2020 classified by category of surgery for England and Wales.

Appendix D – Surgical activity in 2020 classified by anatomical site.





